NO. 4286 P. 13/33

DEC 0 7 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):

Douglas Reynolds, et al.

Title:

SYSTEM AND METHOD FOR FACILITATING A CUSTOM RING IN

CONNECTION WITH A CALL

App. No.:

10/774,351

Filed:

February 6, 2004

Examiner:

Gerald Gauthier

Group Art Unit:

2645

Atty. Dkt. No.: 1033-LB1011

Customer No.: 34456

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

DECLARATION UNDER 37 C.F.R § 1.131

As a below named inventor, I hereby declare that:

- Our residences, post office addresses, and citizenship are as stated below next to our 1. names.
- We believe we are the original, first and joint inventors of the subject matter which is 2. claimed and for which a patent is sought
- The conception of the subject matter which is claimed within the originally filed claims 3. (herein "the Original Claims") and for which a patent is sought occurred in the United States of America before August 7, 2003, which is the filing date of U.S. Patent Application Publication Number 2005/0031106A1. A copy of invention disclosures is attached as Exhibit A.
- At least one of the invention disclosures corresponding to the above-identified patent 4. application was received by SBC Knowledge Ventures, Inc., on or about August 8, 2003.
- A patent committee reviewed disclosures corresponding to the above-identified patent 5. application and decided to file a patent application, which was subsequently prepared and filed as the above-identified patent application, on or about September 30, 2003.
- A file corresponding to the above-identified patent application was dooketed by Toler, 6. Larson & Abel L.L.P. on or about October 14, 2003. A copy of docketing notation is attached as Exhibit B.
- A conference between Mr. Russell White of Toler, Larson & Abel and Mr. Douglas 7. Reynolds regarding the disclosures and preparation of the above-identified patent

1033-LB1011 CFR 1 131 Declaration doc

Page 1

U.S App No: 10/774,351

PATENT

- application occurred on or about January 12, 2004. A copy of a time listing for the patent application is attached as Exhibit C.
- 8. A draft of the above-identified patent application including the subject matter which is claimed and for which a patent is sought was provided to the inventors via an email dated January 15, 2004. A copy of this email is attached as Exhibit D.
- 9. A follow-up email regarding the draft recited in Paragraph 8 was provided to the inventors via an email dated January 26, 2004. A copy of this email is attached as Exhibit E.
- 10. Comments regarding the draft recited in Paragraph 8 were provided from Mr. Douglas Reynolds to a legal representative of the Assignee on February 4, 2004. A copy of this email is attached as Exhibit F.
- 11. The above-identified patent application was filed two days later on February 6, 2004.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of First Inventor				
Given Name (first and midd	e [if any])	Famil	у Nапре от Surname	
Douglas F.	_ }	Reynolds		
Inventor's Signature 2	•	Date	12/7/05	
Residence City: Austin	State: TX	Country: US	Citizenship: US	,
	tone Cove, #5206			
City: Austin	State; TX	ZIP: 78759	Country: US	

Number of Seco	and Invento	<u> </u>					
Giv	en Name (f	irst and middle	if any [)		Famil	y Name or Sumerns	
Asron				Bangor			
Inventor's Signature	au	rumE	brour/		Date	12/7/05	
Residence	City: Aus	tio	Sinte TX	Country:	US	Citizenship: US	
Post Office A	ddiess	8538 Cabill D	rive, #39				•
City: Austin		1	State: TX:	ZIP: 7872	9	Country: US	

Page 2

PATENT

Name of Third Inventor:			
Given Name (first and midd	le [if any])		Family Name or Sumanne
Jeffrey L.	1	Brandt	
Inventor's Signature My 28	-	1	Date 12/7/05
Residence City: Cedar Park	Shite: TX	Country: US	Citizenship: US
Post Office Address 801 Forces			
City: Cedar Park	State: TX	ZIP: 78613	Country: US

EXHIBIT A

12/5/2005 1033-LB1011 CFR 1 131 Declaration doc

US App No: 10/774,353

Name: Telephone: _

512-372-5799

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FEGAL DEAT LICE ONLY !

	Palent Committe	⊢B/0 ee Action				
٠	!	Inventior	n Disclos	ure Form		•
Wh	at can we call your inv	ention? (10 wo	ords or less)			
Met	thod for VoIP Custo	m Ringing an	nd Identification	on		
Wh List	o do you think contribu yourself and the peop	uted to this cond its who may be	ception of your inventors. (Use	invention? e additional sheet	ls if necessary)	
	Doug Reynolds			nature		Date
Cum	rent SBC Employee?	Yes 🖺 No		Technology Resp	urces. Joc. Austi	n, TX
	512-372-5799 Phone Number		51	Iness Unit 2-372-5791 Number		
	Aaron Bangor ne Printed		<u></u>	ature Be	yr	Data
Cun	rent SBC Employee? 🗵	Yes 🗆 No		Technology Reso Iness Unit	<u>urces, Inc. Austi</u>	n <u>. TX</u>
	512-372-5732 phone Number			2 <u>-372-5791</u> Number	· 	
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_ •	Jeff Brandt		<u> </u>	11,25		
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Cutt	rem SBC Employee? 🛭 🖾	Yes D No	Bus	Technology Resolues Unit	urces, Inc. Austi	n. TX
	512-372-5732 phone Number		<u>51</u> 2	2-372-5791 Number		
	•					

- 4. What is your invention? Please attach additional sheets (as much as you feel is necessary) to describe your concepts flow charts and/or block diagrams can be very helpful. In preparing this description, try to address the following: ...
 - a) What have others done in the past (or, do now) i.e. what is the problem that was solved?
 - b) What makes your invention <u>new</u> or <u>different</u> from what was done before i.e. what is your solution?
 - c) What makes your invention better than what was done before?

Voice over IP (VoIP) allows for many ways to accomplish traditional telephony operations in new and interesting ways. One of the most traditional aspects of telephony has been the concept of the ringing telephone. In the past the telephone ring was primarily limited by technology. With current technology, as many wireless handset manufacturers have shown, this is no longer the case. Emerging technologies could allow for rethinking of traditional telephony to improve on existing traditional interfaces.

This method would allow for a custom ring tone to be sent to a called party communication device alongside of or included within the VoIP packets themselves. The caller would have the ability to pre-program their VoIP CPE to send a customized ring tones when calling other, technically capable, parties. They also would have the ability to record short identification messages prior to making the call to be played in place of traditional ring tones. The called party would hear this customized ring tone or verbal message in place of their default ringing option. The ring tone would be any standardized sound file (e.g. mp3, WAV, etc.) and thus could be anything from music to a verbal message. The quality and clarity of the sound file could also be adjusted to reduce bandwidth issues based on network conditions. In addition called parties would have the option of disabling the option on their CPE to reduce unwanted or annoying custom ring tones with the additional ability to block?

This method would allow for a greater flexibility in caller identification as well as expanded personal preferences for telephony options. Callers would have the ability customize the caller identification via ring tone if the caller is not calling from their hormally identified telephone line. An additional benefit would be that business could customize and brand ring tones to both identify and increase brand recognition. Overall this method would be a competitive advantage that offers solutions to a variety of telephony issues.

5. Please complete the attached evaluation matrix,

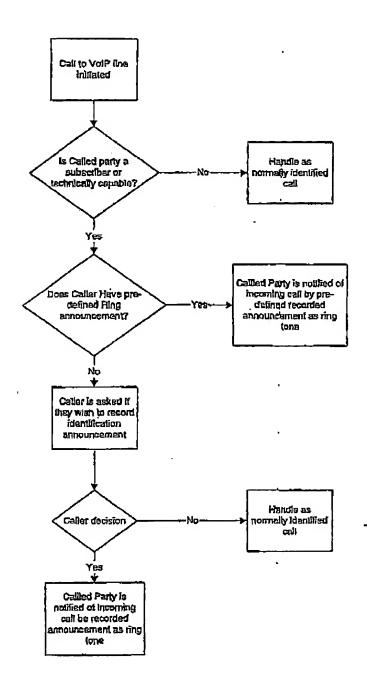
6. Two witnesses (who are not inventors) must attest to the fact that they <u>read and understand</u> the attached description. The Witnesses must sign below and initial and date <u>each page</u> of the attached description.

Benjamin Kunsa

Weredith UcQuilkin

Signalup Date

Signalure Date



IP Evaluation Matrix

YALUB	0		2	5	V	u	1 % 14000
Revenue Projection of Related Product Reyenus or cost savings associated with implementation	WI\$	18<	×\$20) (380) (380) (380	08\$<	>\$100M	C)
Lilecycle of Product	<2 yrs	2 yrs	3 yrs	4 yrs	5 yrs	>5 yrs	52
How does the invantion rulete to any competitive afternalives?	Many viable alternatives				×	Cfearfy better ar tha only way	4
How new to this to the Industry?	Many implementations elsewhere			-		None complements	r.
What is the competitive weight?	Minot impact	-				Cuiteal differentiator	m
What is the geographic scope?	Local					National/International	r
		,				TOTAL SCORE	25
Has this been or is this being implamented by SBC? If so, what is the name of the product or service and when did we/will we will it out?	implamented by SBC: a and when did we/wi	f IF so, whot is the il we roll it out?	ie No.				
Are there other companies that could benefit from Ilcensing this invention? If so, who?	ıst could benesit from	llcensing	8A	Yes, Any provider using Volp	er using Vol	D.	ÿ.,
Hay this been or is this invention being submitted as a Standard? If so, to which Standard?	ion being submilled as	s Standard?	No.				

CONKLDENTIAL (When Completed) Subject to restrictions on first page.

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Disclosure No.: LB1012	Date:	46
Patent Committee Action		

Invention Disclosure Form

- What can we call your invention? (10 words or less)
 Method for Allowing Custom Ring Notification for Voice over IP Telephony.
- Who do you think contributed to the conception of your invention?
 List yourself and the people who may be inventors. (Use additional sheets if necessary)

Doug Reynolds Name Printed	Signature	Date
Current SBC Employee? 🛭 Yes 🔲 No	SBC Technology Resources, J Business Unit	nc. Austin. TX
512-372-5799	<u>512-372-57</u> 91	
elephone Number	Fax Number	
_Aaron_Bangor	aux Bans	/
lame Printed	Signature	Date
Ourrent SBC Employee? 🛛 Yes 🔲 No	SBC Technology Resources, I Business Unit	nc, Austin, TX
512- 372-5732	512-372-5791	
elebhone Mumber	Fax Number	
lanue Printed	- Signature	Date
Autrent 68C Employee? Tyes No		
	Business Unit	
elaphone Number	Fax Number	· · · · · · · · · · · · · · · · · · ·

9. Who will be the point of contact for further information?

Name:		Doug Roynolds	 	
Telepho	ne:	512-372-5799	 	

- 4. What is your invention? Please attach additional sheets (as much as you feel is necessary) to describe your concepts flow charts and/or block diagrams can be very helpful. In preparing this description, try to address the following:
 - a) What have others done in the past (or, do now) i.e. what is, the problem that was solved?
 - b) What makes your invention <u>new</u> or <u>different</u> from what was done before i.e. what is your solution?
 - c) What makes your invention <u>better</u> than what was done before?

Current telephone ring options are either predefined by equipment manufactures or by switch based methods with few available options. The ability to customize ringing tones or notifications is primarily available with Wireless handsets at this time. With Voice over IP (VoIP) this limitation may no longer be the case. VoIP would allow for greater flexibility on assigning ringing tones as well as giving new options for incoming call notification.

This method would allow for IP telephony CPE (whether fixed, wireless, or PC based) to customize the ringing sound for the telephone. The ringing sound could be recorded at the device itself via microphones, or downloaded from remote sites. The ringing sounds would be stored as a standard electronic sound file (WAV, MP3, etc.) and need not be limited to traditional ringing tones. Voice, music, or any other identifying sound could be used. In addition to using a customized ring the method would allow for multiple ring sounds/files to be assigned to a single telephony device based on user defined incoming call characteristics. Differing ringing sounds could be assigned to distinguish telephone user, incoming caller (based on CID information) call line, member(s) of a hunt group, or any other user specified incoming call attributes. The sounds could be recorded/downloaded and conditions for their use could be specified via a built in interface (Graphical or IVR) or remotely via a software client. In addition multiple sound files could be used to identify calls that satisfy several conditions. An example of this would be that all incoming calls ring with the assigned user's name as well as the incoming call type.

This Method would be of great value in small-to-large business settings where multiple ringing phones could be difficult to distinguish. By adding this flexibility the method would allow for an easily customizable ability to distinguish between separate ringing telephones as well as between individual calls and call types. This would greatly expand the value of current custom ringing options as well as offering new capabilities customers.

Please complete the attached evaluation matrix,

6. Two witnesses (who are not inventors) must attest to the fact that they <u>read and understand</u> the attached description. The Witnesses must sign below and initial and date <u>each page</u> of the attached description.

JEFFREY L BRANDT

Wilness Name

Wilnes Name

- **4**----

Date

Date

IP Evaluation Matrix

YALUE	6	1	7	3	4	40)	SCORE (0-5)
Revenus Projection of Related Product Revenue or cost savings associated with implementation	&!M	>51	02\$<	\$\$\$¢	02\$<	>\$100M	'n
Lifecycie of Product	<i td="" yrs<=""><td>2 yrs</td><td>3 yrs</td><td>4 yra</td><td>S yrs</td><td>Sy 25</td><td>5</td></i>	2 yrs	3 yrs	4 yra	S yrs	Sy 25	5
How does the invention relate to any competitive alternatives?	Many vłabie alternatíves				×	Clearly better or the only way	4
How new is this to the Industry?	Many implamentations alsowhere			×		Nano elsewhare	m
What is the competitive weight?	Minorimpact	-				dritteed differentiator	5
What is the geographic scope?	Local					National/International	വ
						TOTAL SCORE	25
That alich Comment of the land							

Has this been or is this boung implemented by SBC? If so, what is the name of the product or service and when did we/will we roll it out? Are there other companies that could benefit from licensing this invention? If so, who? Yes, Telephone Manufacturers and IP telephony developers.	No.	Has this been or is this invention being submitted as a Standard? If so, to which Standard?
	Yes, Telephone Manufacturers and IP telephony developers.	Are there other companies that could benefit from licensing this invention? If so, who?
	No.	Has this been or is this boung implemented by SBC? If so, what is the name of the product or service and when did we/will we roll it out?

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NO. 4286 P. 24/33 NO. 208 P. 13

PATENT

EXHIBIT B

NO. 4286 P. 26/33 NO. 268 P. 15

PATENT

EXHIBIT C

Den 5/2005

Time Listing

Time Listing

Date Hatter Client

Energy Hatter Coscription

Task Seplanation

Law type

Lawyer, Him - Susmil Mite

Danial/2004 [phi-laint]

Seplanation

Law type

Lawyer, Him - Susmil Mite

Danial/2004 [phi-laint]

Seplanation

Seplanati

Method for Vole Custon Ringing and Identification

AN Complete final review of draft application; provide copy of draft
application and Eigeres to inventors

NO. 4286 P. 28/33 NO. 268 P. 1/

PATENT

EXHIBIT D

NO. 4286 P. 29/33 P. 18

Page 1 of 1

Pia Lorenzana

From:

Pia Lorenzana [plorenzana@iplegalservices.com] on behalf of Pia Lorenzana

Sent

Thursday, January 15, 2004 5:45 PM

To:

'doug.reynolds@sbc.com'; 'aeron_bangor@labs.sbc.com'; 'jeff_brendl@labs.sbc.com'

Cc:

Russell White

Subject: LB1011

Our File No.: 1033-LB1011

Tibe:

SYSTEM AND METHOD FOR FACILITATING A CUSTOM RING IN CONNECTION WITH A CALL

Dear Mr. Reynolds, Mr. Bangor & Mr. Brandt,

Enclosed herewith is a copy of the DRAFT Patent Application for your review, along with the drawings (in .pdf format). Our detailed instructions are also enclosed for your reference.

Thank you for allowing us to assist you with this matter Should you have any questions, please feel free to contact us.

Pia R Lorenzana Assistant to Russell W. White, Esq. plorenzana@iplegalservices.com



Toler Larson & Abel LLP 5000 Plaza on the Lake. Suite 265 Austin, Texas 78746 Ph. 512-327-5515 Fax 512-327-5452 www.tla-law.com

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1/26/2004

NO. 4286 P. 30/33 NO. 268 P. 19

PATENT

EXHIBIT E

NO. 4286 P. 31/33 NU. 268 P. 20

Page 1 of 1

Grace Adame

Grace Adame [gadame@iplegalservices.com] From:

Sent: Monday, January 26, 2004 12:20 PM

'doug reynolds@sbc.com'; 'aaron_bangor@labs.sbc.com'; 'jeff_brandt@labs.sbc.com' To:

Subject: LB1011 and LB1018

Dear Mr. Reynolds, Mr. Bangor, and Mr. Brandt,

I am just following up on the status of the above mentioned applications. Please feel free to contact us if we can

Thank you,

Grace Adame Assistant to Jeffrey G. Toler, Esq.

Grace Adame Administrator radame@iplegalservices.com



Toler Larson & Abel LLP 5000 Plaza on the Lake, Suite 265 Austin, Texas 78746 Ph. 512-327-5515 Fax 512-327-5452 www.tla-law.com

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1/26/2004

NO. 4286 P. 32/33 P. 21

PATENT

EXHIBIT F

Page I of 2

Grace Adame

From:

REYNOLDS, DOUGLAS F (SBCSI) [dr9873@sbc.com]

Sent

Wednesday, February 04, 2004 11:51 AM

To:

'Grace Adame'

Subject: RE: LB1011 and LB1013

Grace,

Sorry for the delay in getting these to you.

Hear are the slightly revised documents.

Thanks.

Doug

Original Message-

From: Grace Adame [mailto:gadame@iplegalservices.com]

Sent: Monday, January 26, 2004 12:20 PM

TO: REYNOLDS, DOUGLAS F (SBCSE); BANGOR, AARON (SBCLABS); BRANDT, JEFF (SBCLABS)

Subject: LB1011 and LB1013

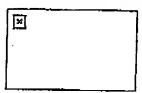
Dear Mr. Reynolds, Mr. Bangor, and Mr. Brandt,

I am just following up on the status of the above mentioned applications. Please feel free to contact us if we can assist you in any way.

Thank you,

Grace Adame Assistant to Jeffrey G. Toler, Esq.

Grace Adame Administrator sadame@iplegalservices.com



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